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# **EXEMPTION CERTIFICATION**

DATE:	8/23/2011
TO:	IVAYLO DINOV
	Statistics Department
	NEUROLOGY-LONI
FROM:	WENDY BRUNT
	Exemptions Administrator
RE:	IRB#11-002726
	Statistics Online Computational Resource Survey
	Version: 1.0 08/11/2011

The UCLA Institutional Review Board (UCLA IRB) has determined that the above-referenced study meets the criteria for an exemption from IRB review. The UCLA IRB's Federalwide Assurance (FWA) with Department of Health and Human Services is FWA00004642.

Any modifications to the research procedures must be submitted to the OHRPP for prospective review and certification of exemption prior to implementation. The project must be renewed by the expiration date if work is to continue.

## Submission and Review Information:

Certification Date	8/23/2011
Expiration Date	8/22/2016
Funding Source(s)	1) NATIONAL SCIENCE FOUNDATION Grant Title: Statistics Online Computational Resource for Education Grant Number: 0716055

## **Regulatory Determinations**

-- This research has been certified as exempt from IRB review per 45 CFR 46.101, categories 1 and 2.

#### Documents Reviewed included, but were not limited to:

Document Name	Document
	Version #

SOCR_WebSurvey_Solicitation_Aug2011.pdf.pdf	0.01
SOCR_Consent_WebSurvey_Solicitation_Aug2011.pdf.pdf	0.01

#### **General Conditions of Approval**

As indicated in the PI Assurances as part of the IRB requirements for approval, the PI has ultimate responsibility for the conduct of the study, the ethical performance of the project, the protection of the rights and welfare of human subjects, and strict adherence to any stipulations imposed by the IRB.

The PI and study team will comply with all UCLA policies and procedures, as well as with all applicable Federal, State, and local laws regarding the protection of human subjects in research, including, but not limited to, the following:

- Ensuring that the personnel performing the project are qualified, appropriately trained, and will adhere to the provisions of the approved protocol,
- Implementing no changes in the approved protocol or consent process or documents without prior IRB approval (except in an emergency, if necessary to safeguard the well-being of human subjects and then notifying the IRB as soon as possible afterwards),
- Obtaining the legally effective informed consent from human subjects of their legally responsible representative, and using only the currently approved consent process and stamped consent documents, as appropriate, with human subjects,
- Reporting serious or unexpected adverse events as well as protocol violations or other incidents related to the protocol to the IRB according to the OHRPP reporting requirements.
- Assuring that adequate resources to protect research participants (i.e., personnel, funding, time, equipment and space) are in place before implementing the research project, and that the research will stop if adequate resources become unavailable.
- Arranging for a co-investigator to assume direct responsibility of the study if the PI will be unavailable to direct this research personally, for example, when on sabbatical leave or vacation or other absences. Either this person is named as co-investigator in this application, or advising IRB via webIRB in advance of such arrangements.